No.

CLIENT REGISTRATION FORM

Sachdeva Stocks Pvt. Ltd.

Member : NSE & BSE • DP : CDSL

Equities, F&O, Currency, Demat

Client Name								
BO ID (Demat)	1	2	0	6	9	9	0	0
UCC (Trading)								
Client Group								
Branch								
Sub-Branch								
Account Opening Date (To be filled by Head Office)								

SACHDEVA STOCKS

Sachdeva Stocks Pvt. Ltd.

CIN : U65999HP2006PTC000137

CONTACT DETAILS	
Compliance Officer Details	Mr. Randhir Kumar Ph.: 9041067111, E-mail : compliance@sachdevastocks.com
Director's Details	Ms. Paramjit Sachdeva Ph.: 9041067111, E-mail : md@sachdevastocks.com
Registered Office :	Opp. Sabzi Mandi, Una-174303 (H.P.)
Corporate Office :	Sachdeva Business Park, Bullanwari, Hoshiarpur-146001 (Punjab) Tel.: 9041094111, 9041096111 • Email : grievances@sachdevastocks.com Website : www.sachdevastocks.com

Exchange / Depository	Segment	SEBI Regn. No.
NSE	Cash/F&O/Currency	INZ000292435
BSE	Cash/F&O/Currency	INZ000292435
CDSL	Depository	IN-DP-CDSL-244-2016

For any grievance/dispute please contact SACHDEVA STOCKS PVT. LTD. at the above address or email id-grievances@sachdevastocks.com and Phone No. 9041067111. In case not satisfied with the response, please contact the concerned exchange(s) at :

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd.	ignse@nse.co.in	022-26598190, 18002660058
Bombay Stock Exchange Ltd.	is@bseindia.com	022-22728097
Central Depository Services (India) Ltd.	complaints@cdslindia.com	1800-200-5533

Filing of complaints on SEBI SCORES - Easy & Quick (https://www.scores.gov.in/scores/Welcome.html)

a. Register on SCORES portal

b. Mandatory details for filing complaints on SCORES: i.e. Name, Pan, Address, Mobile Number, Email ID

c. Benefits:

i. Effective communication ii. Speedy redressal of the grievances

ANNEXURE - 1

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	Account Opening Form	A. KYC Form - Document captures the basic information about the constituent.	1-5
		B. FATCA & CRS Declaration	6-8
		C. Document captures the additional information about the constituent relevant to trading account.	9-11
2.	Tariff Sheet	Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	12
3.	Rights and Obligations	Document stating the Rights & Obligations of Stock Broker/ Commodity Broker/Depository Participant/TradingMember, Authorised Person and Client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Given to Client with Welcome Kit
4.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities/ commodities market.	
5.	Guidance Note	Documents detailing do's and don'ts for trading on exchange, for the education of the investors.	
6.	Policies and Procedures	Document describing significant policies and procedure of the Stock Broker / Commodity Broker.	

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

S.No.	Name of the Document	Brief Significance of the Document	Page No.
1.	Authorisation for Electronic Communication	For authorising the trading member to send Electronic Contract Notes.	13
2.	Running Account Authorisation	Helps the client to enjoy exposures linked to the credit in the trading account.	14
3.	Letter of Authority	Authorisation for smooth functioning of Trading A/c	15
4.	Declaration for Name Discrepancy in PAN Card, Bank Proof & Address Proof	Declaration	16

1.	CDSL Form	Where the client wishes to open a demat account with us as CDSL DP	17-30

Note : You may also download KYC form & other formats from our website www.sachdevastocks.com

CLIENTS OPTION FOR RECEIVING DOCUMENTS

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari, Hoshiarpur-146001

Dated ______________________

Dear Sir/ Madam,

I hereby opt to get the document listed below in -

☐ Electronic Form ☐ Physical Form

1. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
6. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	**Second Joint Holder Signature** (only for DP account)	**Third Joint Holder Signature** (only for DP account)
1		

ACKNOWLEDGEMENT FROM CLIENT FOR RECEIPT OF PHYSICAL DOCUMENTS

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari, Hoshiarpur-146001

Dated ______________________

Dear Sir/ Madam,

I/We hereby acknowledge receipt of the following documents

1. Rights and Obligations of Stock Brokers, Sub-brokers and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
2. Risk Disclosure Document for Capital Market and Derivatives Segments.
3. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors.
4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
6. I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	**Second Joint Holder Signature** (only for DP account)	**Third Joint Holder Signature** (only for DP account)
2		

SACHDEVA STOCKS

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

A) Fields marked with '*' are mandatory fields.
B) Tick (✓) wherever applicable.
C) Please fill the form in English and in BLOCK letters.
D) Please fill the date in DD-MM-YYYY format.
E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
F) Please read section wise detailed guidelines / instructions at the end.
G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
H) List of two character ISO 3166 country codes is available at the end.
I) KYC number of applicant is mandatory for update application.
J) The 'OTP based E-KYC' check box is to be checked to accounts opened using OTP based E-KYC in non-face to face mode.

CERSAI

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update
KYC Number (*Mandatory for KYC update request)*
Account Type* ☐ Normal ☐ Minor ☐ Aadhaar OTP based E-KYC (in non-face to face mode)

☐ 1. PERSONAL DETAILS (Please refer instruction **A** at the end)

	Prefix	First Name	Middle Name	Last Name
☐ Name* (Same as ID proof)				
Maiden Name (If any*)				
Father / Spouse Name*				
Mother Name*				

Date of Birth* D D – M M – Y Y Y Y

PHOTO

Sign Across the photograph

Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender
Marital Status* ☐ Married ☐ Unmarried ☐ Others
PAN* ☐ Form 60 furnished
Citizenship* ☐ IN- Indian ☐ Others (ISO 3166 Country Code)
Residential Status* ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin
Occupation Type* ☐ S-Service (☐ Private Sector ☐ Public Sector ☐ Government Sector)
☐ O-Others (☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)
☐ B-Business ☐ Agriculturist ☐ X- Not Categorised ☐ Forex Dealer
☐ Others (Pl. Specify)________________

☐ 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction **B** at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

Is entity a tax resident of any country other then India ☐ Yes ☐ No

If yes, please provide country/ies in which the entity is a resident for tax purpose & the associated tax id no. below.

ISO 3166 Country Code of Jurisdiction of Residence*
Tax Identification Number or equivalent (If issued by jurisdiction)*
Place / City of Birth* ISO 3166 Country Code of Birth*

☐ 3. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction **B** at the end)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

☐ A- Passport Number
☐ B- Voter ID Card
☐ C- Driving Licence
☐ D- NREGA Job Card
☐ E- National Population Register Letter
☐ F- Proof of possession of Aadhaar

II. ☐ E- KYC Authentication

III. ☐ Offline verification of Aadhaar

Address

Line 1*
Line 2
Line 3 City / Town / Village*
District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

SACHDEVA STOCKS

☐ 4. CURRENT ADDRESS DETAILS (Please refer instruction **B** at the end)

☐ Same as above mentioned address (in such cases address details as below need not to be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
- ☐ B- Voter ID Card
- ☐ C- Driving Licence
- ☐ D- NREGA Job Card
- ☐ E- National Population Register Letter
- ☐ F- Proof of possession of Aadhaar

II. ☐ E- KYC Authentication

III. ☐ Offline verification of Aadhaar

IV. ☐ Deemed Proof of Address - Document type Code

Address

Line 1*

Line 2

Line 3 City / Town / Village*

District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

☐ 5. CONTACT DETAILS (All communications will be sent to Mobile number / Email-ID provided) (Please refer instruction **C** at the end)

Tel. (Off) – Tel. (Res) – Mobile –

Email ID

☐ 6. REMARKS (If any)

7. APPLICANT DECLARATION

- I hereby declare that the KYC details furnished by me are true and correct to the best of my knowledge and belief and I under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I amaware that I may be held liable for it.
- I hereby consent to receiving information from KRA/CERSAI (CKYC) through SMS/Email on the above registered number/Email address.
- I am also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I hereby consent to sharing my masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

3 ✓

Signature / Thumb Impression of Applicant

Date : D D – M M – Y Y Y Y Place :

8. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline Verification ☐ Digital KYC Process ☐ Equivalent e-document ☐ Video Based KYC ☐ Digilocker Verified

CARRIED OUT BY

Date D D M M Y Y Y Y

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Name **SACHDEVA STOCKS PVT. LTD.**

Code

[Institution Stamp]

SACHDEVA STOCKS

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity / Other than Individuals

Important Instructions:

A) Fields marked with '*' are mandatory fields.
B) Tick '✓' wherever applicable.
C) Please fill the date in DD-MM-YYYY format.
D) Please fill the form in English and in BLOCK letters.
E) KYC number of applicant is mandatory for update application.
F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
G) List of two character ISO 3166 country codes is available at the end.
H) Please read section wise detailed guidelines / instructions at the end.
I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

CERSAI

For office use only
(To be filled by financial institution)

Application Type* ☐ New ☐ Update
KYC Number ________ *(Mandatory for KYC update request)*

☐ 1. ENTITY DETAILS* (Please refer instruction **A** at the end)

☐ Name* ________

Entity Constitution Type* ☐ Others (Specify) ________ (Please refer instruction B a the end)

Date of Incorporation / Formation* D D – M M – Y Y Y Y
Date of Commencement of Business D D – M M – Y Y Y Y

Place of Incorporation / Formation* ________
Country of Incorporation / Formation* ____
TIN or Equivalent Issuing Country ____

PAN* ________ ☐ Form 60 furnished

TIN / GST Registration Number ________

☐ 2. PROOF OF IDENTITY (PoI)* (Please refer instruction **B** at the end)

☐ Officially void document(s) in respect of person authorised to transact
☐ Certificate of Incorporation / Formation ________
☐ Registration Certificate Regn. Certificate No. ________
☐ Memorandum and Articles of Association
☐ Partnership Deed
☐ Trust Deed
☐ Resolution of Board / Managing Committee
☐ Power or attorney granted to its manager, officers or employees to transact on its behalf
☐ Activity Proof - 1 (For Sole Proprietorship Only)
☐ Activity Proof - 2 (For Sole Proprietorship Only)

☐ 3. ADDRESS* (Please refer instruction **C** at the end)

3.2 Local Address in India (If different from Above)*

Proof of Address* ☐ Certificate of Incorporation / Formation ☐ Registration Certificate ☐ Other Document ________

Line 1* ________
Line 2 ________
Line 3 ________ City / Town / Village* ________
District ________ Pin / Post Code* ________ State / U.T. Code* ____ ISO 3166 Country Code* ____

3.1 Registered Office Address / Place of Business*

Line 1* ________
Line 2 ________
Line 3 ________ City / Town / Village* ________
District ________ Pin / Post Code* ________ State / U.T. Code* ____ ISO 3166 Country Code* ____

☐ 4. CONTACT DETAILS (All communication will be sent to Mobile number / E-mail ID provided may be used) (Please refer instruction **D** at the end)

Tel. (Off) ____ – ________
FAX ____ – ________
Mobile ____ – ________
Email ID ________
Mobile ____ – ________
Email ID ________

☐ 5. NUMBER OF RELATED PERSONS ____ (Please refer instruction **E** at the end)

SACHDEVA STOCKS

☐ 6 REMARKS (If any)

7. APPLICANT DECLARATION (Please refer Instruction G at the end)

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we am/are aware that I/we may be held liable for it.
- I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : D D – M M – Y Y Y Y   Place :

4 [Signature / Thumb Impression]

Signature / Thumb Impression of Applicant

8. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copy ☐ Equivalent e-document

Date D D M M Y Y Y Y Place

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

[Employee Signature]

Name **SACHDEVA STOCKS PVT. LTD.**

Code

[Institution Stamp]

SACHDEVA STOCKS

Details of Promoters / Partners / Karta / Trustees and Whole Time Directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Sr. No.	Name	Relationship with Applicant (i.e. promoters, whole time directors etc.)	PAN	Residential / Registered Address	DIN of whole time directors / Aadhaar number of Promoters / Partners / Karta	Photograph
1.					DIN : UID :	
2.					DIN : UID :	
3.					DIN : UID :	
4.					DIN : UID :	
5.					DIN : UID :	

✓

Name & Signature of the Authorised Signatory(ies)

SACHDEVA STOCKS

MANDATORY DOCUMENT

FATCA & CRS Declaration - Individual

Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance

Name	
Place of Birth	
Country of Birth	
Nationality	
Constitute	☐ Individual ☐ NRI ☐ Foreign National ☐ Others (Pl. Specify) ____________________

Are you a tax resident of any country other than India - ☐ Yes ☐ No

If Yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

S.No.	Country#	Tax Identification Number%	Identification Type *(TIN or other, please specify)*
1.			
2.			
3.			

To also include USA, where the individual is a citizen / green card holder of the USA

% In case Tax Identification Number is not available, kindly provide its functional equivalent $

CERTIFICATION

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

FATCA & CRS - TERMS & CONDITIONS

Details under FATCA & CRS : The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account of any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.

It is important that you respond to our request, even if you believe you have already supplied any previously requested information.

Date : ☐☐☐☐☐☐☐☐

Place : ____________

5 ✓

Signature

SACHDEVA STOCKS

MANDATORY DOCUMENT

Sachdeva Stocks Pvt. Ltd.

FATCA & CRS Declaration - Non Individual

PAN ______ Trading Code ______ DP Code ______

Name ______

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number%	Identification Type (TIN or Other%, please specify)
1.			
2.			
3.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.
In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

______

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1.	We are a, Financial institution (Refer 1 of Part C) ☐ or Direct reporting NFE (Refer 3(vii) of Part C) ☐ (please tick as appropriate)	**GIIN** ______ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity ______
	GIIN not available (please tick as applicable)	☐ **Applied for** ☐ Not obtained – Non-participating FI ☐ Not required to apply for - please specify 2 digits sub-category ☐ (Refer 1 A of Part C)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity a publicly traded company *(that is, a company whose shares are regularly traded on an established securities market)* (Refer 2a of Part C)	Yes ☐ *(If yes, please specify any one stock exchange on which the stock is regularly traded)* Name of stock exchange ______
2.	Is the Entity a related entity of a publicly traded company *(a company whose shares are regularly traded on an established securities market)* (Refer 2b of Part C)	Yes ☐ *(If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)* Name of listed company ______ Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of stock exchange ______
3.	Is the Entity an active NFE (Refer 2c of Part C)	Yes ☐ Nature of Business ______ Please specify the sub-category of Active NFE ☐ (Mention code – refer 2c of Part C)
4.	Is the Entity a passiveNFE (Refer 3(ii) of Part C)	Yes ☐ Nature of Business ______

UBO Declaration **(Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)**

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company

☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust

☐ Others (please specify______)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). *(Please attach additional sheets if necessary)*

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

SACHDEVA STOCKS

MANDATORY DOCUMENT

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip State: Country:	Zip State: Country:	Zip State: Country:
Address Type	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	☐ Service ☐ Business ☐ Others ____	☐ Service ☐ Business ☐ Others ____	☐ Service ☐ Business ☐ Others ____
Nationality			
Father's Name			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) $			

* To include US, where controlling person is a US citizen or green card holder

\# If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

% In case Tax Identification Number is not available, kindly provide functional equivalent

$ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Sachdeva Stocks Pvt. Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
Designation	

Client Signature

Date : D D M M Y Y Y Y

Place :

For Investor convenience, Sachdeva Stocks Pvt. Ltd. collecting this mandatory information for updating across all Group Companies of Sachdeva Stocks Pvt. Ltd. whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest Sachdeva Stocks Pvt. Ltd. branch or you can dispatch the hard copy to-

Sachdeva Stocks Pvt. Ltd.
Corp. Off.: Sachdeva Business Park, Bullanwari, Hoshiarpur-146001 (Punjab)

• For Detail Terms & Conditions please visit www.sachdevastocks.com

SACHDEVA STOCKS

MANDATORY DOCUMENT

ANNEXURE - 3

TRADING ACCOUNT RELATED DETAILS FOR INDIVIDUAL & NON-INDIVIDUAL

A. BANK ACCOUNT(S) DETAILS (Through which transactions shall generally be routed)

Bank Name	Branch Address	Account Number	Account Type	MICR Number	IFSC Code
			☐ Saving ☐ Current ☐ Others - in case of NRI / NRE / NRO		

B. DEPOSITORY ACCOUNT(S) DETAILS (Through which transactions shall generally be routed)

Depository Participant Name	Name of Depository	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
	☐ NSDL ☐ CDSL			
	☐ NSDL ☐ CDSL			
	☐ NSDL ☐ CDSL			

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE & BSE				BSE & NSE
All Segments	**Cash/Mutual Fund**	**F&O**	**Currency**	**Debt**	**Commodity Derivatives**
	7a ✓	7b ✓	7c ✓	7d ✓	7e ✓

If you do not wish to trade in any of segments / Mutual Fund, please mention here ____________________

ONLINE / OFFLINE PREFERENCES

Capital, F&O, Currency (NSE) **Capital, F&O, Currency** (BSE)	☐ Offline ☐ Online 8 ✓ ____________

D. FINANCIAL DETAILS & OCCUPATION DETAILS

☐ Gross Annual Income Details (please specify) : ☐ Below ₹ 1 Lac ☐ ₹ 1 Lac to 5 Lac ☐ ₹ 5 Lac to 10 Lac ☐ ₹ 10 Lac to 25 Lac ☐ ₹ 25 Lac to 1 Crore ☐ > ₹ 1 Crore

☐ Net Worth (Net worth should not be older than 1 year) Amount Rs.. as on (date) D D M M Y Y Y Y (Compulsory for Non-Individuals)

☐ Occupation (please tick any one and give brief details) : ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others__________Please Specify

☐ Please tick, if applicable (Note : In case of Non-individuals please tick, if applicable for any of your authorised signatories/ Promoters/Partners/Karta/Trustees/whole time directors) :
☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP)

☐ Any other information : ....

SACHDEVA STOCKS

MANDATORY DOCUMENT

E. DEALING THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If client is dealing through the Authorised Person, provide the following details :

Name of the Authorised Person :...

Authorised Person SEBI Regn. No. :...

Authorised Person's Address :...

Authorised Person's Phone/Fax No. :...

Authorised Person's Website :...

Whether dealing with any other stock broker / Authorised Person (In case dealing with multiple stock brokers / Authorised Person, please provide details of all)

Name of the stock broker :...

Name of Authorised Person (If any) :...

Client Code (UCC) :...

Exchange :...

Details of disputes / dues pending from / to such stock broker / Authorised Person

(Please Specify)

F. ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) :

....

Specify your Email id, if applicable : ....

Whether you wish to avail of the facility of internet trading/ wireless technology (please specify) :

....

Number of years of Investment/Trading Experience : ☐ 0 ☐ 1-3 ☐ 3-5 ☐ 5-10 ☐ >10

In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorised to deal in securities on behalf of company/firm/others:

PHOTOGRAPH Sign across the Photograph	PHOTOGRAPH Sign across the Photograph
Name..	Name..
Designation..	Designation..
PAN..	PAN..
UID..	UID..
Residential Address..	Residential Address..
....	....
....	....
Signature..	**Signature**..

Any other information : ....

....

G. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years :

....

....

H. GST DETAILS (As applicable, Statewise)

Legal Name			
Trade Name			
GSTIN		Registration Date	
Name of the State		State Code	
Other State GSTIN		Registration Date	
Name of the State		State Code	

I. INTRODUCER DETAILS

Name of the Introducer :...
(Surname) (Name) (Middle Name)

Status of the Introducer : ☐ Sub Broker ☐ Remisier ☐ Auth. Person ☐ Existing Client ☐ Others________

Address and Ph. No. of the Introducer :...

....Sign. of the Introducer...

Sub-broker's Name : ....

SEBI Registration number : ....

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website www.sachdevastocks.com

Place ________________

Date ________________

9 ✓ ________________________________

Signature of Client

SACHDEVA STOCKS

MANDATORY DOCUMENT

DISCLOSURE OF PROPRIETARY TRADING BY SSPL

Dear Client,

In term of SEBI circular No. SEBI/MRD/SEC/Cir-42/2003 dated 19th November, 2003, member is required to disclose to its client about the proprietary trades.

In view of this circular, we wish to inform you that, we do proprietary trades in the Cash, F&O and currency derivatives segment of NSE & BSE.

Kindly take note of the above & oblige.

10 ✓____________________

Sole / First Applicant Signature

Date : ____________________

PMLA - DECLARATION

I ____________________________ having the trading code no. ____________ with SSPL confirm and declare that I have read and understood the contents and the provisions of the PMLA Act, 2002 and it was also explained by DRSSBPL official. I further declare that I shall adhere to the rules and regulations and requirements mentioned in the PMLA Act, 2002.

11 ✓____________________

Sole / First Applicant Signature

Risk Category : ☐ Low ☐ Medium ☐ High

BROKERAGE STRUCTURE

FUTURE				OPTION				
Brokerage on	Min (P)	Max (%)	Slab No		Min (P)	Max (%)	Per Lot	Slab No
Intraday								

Cash/Capital			
Brokerage on	Min (P)	Max (%)	Slab No
Intraday			
Delivery			

NSE-CD			OPTION		
Min (P)	Max (%)	Per Lot	Min (P)	Max (%)	Per Lot

BSE-CD			OPTION		
Min (P)	Max (%)	Per Lot	Min (P)	Max (%)	Per Lot

Note:
1. Transaction & Clearing Charges, Stamp duty, GST, SEBI Fee, STT and all legal levies as may applicable from time to time shall be charged separately in addition to the brokerage.
2. Late payment penalty @18% p.a. calculated on daily overdue balance shall be charged till actual realisation.
3. Charges/ service standards are subject to revision at sole discretion of Sachdeva Stocks Pvt. Ltd.
4. Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
5. Minimum Brokerage will be applicable for Futures and options trading.

Signature of Client 12 ✓

VOLUNTARY DOCUMENT

ELECTRONIC CONTRACT NOTE (ECN) DECLARATION

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

Dear Sir,

I ________________________________ a client code____________ with member M/S. **SACHDEVA STOCKS PVT. LTD.** of **NSE & BSE** Exchange undertakes as follows:

- I am aware that the member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the member has to provide electronic contract note for my convenience on my request only.
- Though the member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore , I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operation.
- My email id is* ____. This has been created by me and not by someone else.
- I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.
- I/We am/are aware that this authorisation can be revoked any time by giving a notice in writing.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Signature of Client 13 ✓____________________________

Date :_________________Place:_______________________

SACHDEVA STOCKS

VOLUNTARY DOCUMENT

RUNNING ACCOUNT AUTHORISATION

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

Date : ____________________

Sub : Running Account Authorisation

I/We are dealing through you as a client in Capital Market / Future & Option Segment / Currency Segment and/or Interest Rate Future Segment & in order to facilitate ease of operations and upfront requirement or margin for trade.

I/We authorize you as under:

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you otherwise.
2. I/We request you to settlement of my fund after making necessary retention as per frequency option given below:-

   ☐ Once in a calender Month ☐ Once in every calender Quarter

   except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
3. I/We confirm you that I/We shall bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
4. This Running account authorization would continue until it is revoked by me by giving a notice in writing.

14 ✓ ____________________

VOLUNTARY DOCUMENT

LETTER OF AUTHORITY

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

Date : ____________

Sub : Letter of Authority

I/We am/are dealing in shares/securities/commodities with you in various exchange segments and in order to facilitate ease of operations. We authorize you as under :

1. **Delivery of order/trade confirmation/cancellation :**

   I/We hereby authorize you not to provide me / us order confirmation / Modification / Cancellation Slips and Trade Confirmation slips to avoid unnecessary paper work. I/we shall get the required details from contract notes and confirmation issued by you.

2. **Telephonic Conversation :**

   I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.

3. **Set off of outstanding:**

   I/We authorize you to set off outstanding in any of my / our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange and / or against the value of cash margin or collateral shares provided to you by me / us. I further authorise you to debit the financial charges @ 18% per annum of the outstanding debit balance, if any, in my account and not settled as per the exchange requirement.

4. **Charges & Balance Maintenance :**

   I/We have a Trading As well as depository relationship with Sachdeva Stocks Pvt. Ltd. Please debit the charges relevant with depository services from my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account / pay adequate advance fee for the said reason.

5. **Authority for intimation**

   I/ we shall authorise you to send SMS and email to registered email/ mobile no. in respect of my Trading and Demat account.

6. **Facsimile Authorisation**

   During the operation of my trading I may require to place order instructions through Fax/Scan, I therefore authorise you to honor the instruction and orders send through Fax/Scan copy send by me/ us.

7. I/We have been explained that I/We may not opt to give any of the above authorisation and that the above authorisations are voluntary on my/our part and that I/We can revoke this authorisation at any point of time during the operation of my/our trading account with you by giving you a notice in writing.

For and On Behalf of Constituent

Thanking you,

Yours faithfully

15 ____________________

SACHDEVA STOCKS

VOLUNTARY DOCUMENT

DECLARATON, INDEMNITY CUM UNDERTAKING FOR NAME DISCREPANCY IN PAN CARD, BANK PROOF & ADDRESS PROOF

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

Date : ________________

I____s/o, w/o, d/o___________________________________

____, refer to my Trading Account

____with Sachdeva Stocks Pvt. Ltd. (SSPL) do hereby affirm, declare and undertake that

1. That my name as it appears on my Pan Card is_______________________________________
2. That my name as it appears on the Income Tax website is_______________________________
3. Additional ID Proof____
4. That my name as it appears on the Address proof is___________________________________
5. That my name as it appears on the Bank Proof is_____________________________________
6. That above mentioned names, on Trading account, Tax website, Address proof, PAN Card No. ____ and Bank account bearing no ________________________ are mine alone.
7. That I hereby request SSPL to maintain my name in Demat and Trading account as per the name appearing on the website / PAN card.
8. That I promise and undertake to get my PAN card altered in accordance with my name as appearing on the Income tax within 45 days from the date of signing this undertaking. SSPL may, at its sold discretion, terminate my trading and demat account in the event of me not getting my name altered within 45 days of signing this undertaking.
9. That I further undertake to open a bank account in accordance with the name as appearing on the Income Tax website week from the date of signing this undertaking.
10. I further undertake that in case my name has been changed after appraol from government authorities and notification gazette. I shall get the name change effected in PAN, Bank account etc. and furnish immediately to SSPL.
11. That I further declare that I am responsible and I shall indemnify & keep indemnified SSPL, its directors, officers, employees, agents from and against any and all losses, claims, liabilities, obligations, damages, deficiencies, judgements, action proceedings arising out or in relation to corporate benefits, IPO refund, Foreign Exchange Management Act (FEMA) transfer, dematerialization of securities, rematerialization of securities, dividends, interest etc., that may arise out Declaration-cum- undertaking and/or acting on this basis.

That the contents of this declaration, Indemnity-cum-undertaking have been explained to me in vernacular and I have understood before signing it. That this declaration, Indemnity-cum-undertaking given by me to SSPL is by my absolute free will and not by coercion, undue influence, pressure etc., and at present I am having sound health and mind.

Signature of Client : 16 ✓ __________________

SACHDEVA STOCKS

APPLICATION FOR OPENING DEPOSITORY ACCOUNT - INDIVIDUAL & NON-INDIVIDUAL CDSL

(To be filled by the Depository Participant)

Application No.		Date	D D M M Y Y Y Y
DP Internal Reference No.			
DP ID	1 2 0 6 9 9 0 0	Client ID	

(To be filled by the applicant in **BLOCK LETTERS** in English)
I/We request you to open a demat account in my/our name as per following details :

HOLDERS DETAILS

Sole/First Holder's Name		PAN	
		UID	
UCC		Exchange :	National Stock Exchange of India Ltd. (08538) Bombay Stock Exchange Ltd. (3034)
Second Holder's Name		PAN	
		UID	
Third Holder's Name		PAN	
		UID	
Search Name			

Name*_______________________________

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above :

TYPE OF ACCOUNT (Please tick whichever is applicable)

☐ Individual	☐ Individual Resident ☐ Individual HUF / AOP ☐ Individual Margin Trading A/c (Mantra)	☐ Individual Director ☐ Individual Promoter	☐ Individual Director's Relative ☐ Minor ☐ Others (Specify)_______________
☐ NRI	☐ NRI Repatriable ☐ NRI Non-Repatriable Promoter	☐ NRI Non-Repatriable ☐ NRI - Depository Receipts	☐ NRI Repatriable Promoter ☐ Others (Specify)_______________
☐ Foreign National	☐ Foreign National	☐ Foreign National-Depository Receipts	☐ Others (Specify)_______________

Status	Sub-Status (To be filled by the DP)
☐ Body Corporate ☐ Banks ☐ Trust ☐ Mutual Fund ☐ OCB ☐ FII ☐ CM ☐ FI ☐ Clearing House ☐ Other (Specify)_______________	

SEBI Registration No. (if applicable)		SEBI Registration Date	D D M M Y Y Y Y
RBI Registration No. (if applicable)		RBI Approval Date	D D M M Y Y Y Y
Nationality	☐ Indian ☐ Others (specify)_______________		

OTHER DETAILS

Gross Annual Income Details: (please specify) ☐ Below ₹1 Lac ☐ ₹ 1 Lac to 5 Lac ☐ ₹ 5 Lac to 10 Lac ☐ ₹ 10 Lac to 25 Lac ☐ ₹ 25 Lac to 1 Crore ☐ > ₹1 Crore

Net Worth (Net worth should not be older than 1 year) Amount Rs...

as on (date) D D M M Y Y Y Y

Occupation (please tick any one and give brief details) : ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others__________Please Specify

Please tick, if applicable.

☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) (Please provide details as per annexure 2.2A)

Any other information : ....

DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the applicant			

I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')	[Automatic Credit] Yes ☐ No ☐
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')	Yes ☐ No ☐
Account Statement Requirement	☐ As per SEBI Regulation ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id______________________________	Yes ☐ No ☐
I/We would like to share the email ID with the RTA	Yes ☐ No ☐
I/We would like to receive the Annual Report (Tick the applicable box. If not market the default option would be in Physical)	☐ Physical ☐ Electronic ☐ Both Physical & Electronic
I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	Yes ☐ No ☐

☐ **I wish to opt BSDA Account.** ☐ **I do not wish to opt BSDA Account**

CAS Mode : ☐ **Physical CAS Required** ☐ **CAS not Required**

BANK DETAILS (Dividend Bank Details)

Bank Code (9 digit MICR Code)	
IFS Code (11 Character)	
Account Number	
Account Type	☐ Saving ☐ Current ☐ Others (specify)__________________
Bank Name	
Branch Name	
Bank Branch Address	
City	State	Country	PIN

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque books is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO.
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

➢ In case of option (ii), (iii) and (iv) above, MICR Code of the branch should be present/mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as **Annexure 2.4**	MOBILE No.: +91-... [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).	☐ Yes ☐ No
Easi	To register for ***easi***, please visit our website www.cdslindia.com. ***Easi*** allows a BO to view his ISIN balances, transactions and value of the portfolio online.	

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

☐ Jointly ☐ Anyone of the Holder

Consent for Communication to be received by first Account Holder : (Tick the applicable box. If not marked the default option would be **first holder**.

☐ First Holder	☐ All Holder	Email Id :
	☐ Second Holder	
	☐ Third Holder	

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	

DECLARATION

I/We have received and read the Rights and Obligations document of BO-DP (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

SIGNATURE'S OF HOLDER / DIRECTOR / AUTHORISED SIGNATORIES (Enclosed a Board Resolution for Authorised Signatories)

Holder	Name	Designation	Signature(s)
Sole / First Holder / Authorised Signatory			17
Second Holder/ Authorised Signatory			
Third Holder/ Authorised Signatory			
Other Holders			
Second Holder			
Third Holder			

(Signatures should be preferably in blue ink) **(In case of more authorised signatories, please add annexure)**

SACHDEVA STOCKS

NOMINATION FORM

DATE	D	D	M	M	Y	Y	Y	Y	UCC								
DP ID									Client ID								

☐ I/We **wish to make a nomination.**
[As per details given below]

Nomination Registration No.	Dated

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me/us in the said beneficiary owner account in the event of my / our death.

	Nomination can be made upto three nominees in the account		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1.	Name of the nominee(s) Mr./Ms.)				
2.	Share of each Nominee	Equally [If not equally, please specify percentage]	%	%	%
			Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3.	Relationship with the Applicant (if any)				
4.	Address of Nominee(s) City / Place State / Country	PIN Code			
5.	Mobile/Telephone No. of Nominee(s)				
6.	Email ID of nominee(s)				
7.	Nominee Identification details - [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ AADHAAR ☐ Saving Bank A/c No. ☐ Proof of Identity ☐ Demat Account ID				
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :					
8.	Date of Birth {in case of minor nominee(s)}				
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}				
10.	Address of Guardian(s) City / Place State / Country	PIN Code			
11.	Mobile/Telephone No. of Guardian				
12.	Email ID of nominee(s)				
13.	Relationship of Guardian with nominee				

14.	Guardian Identification details - [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ AADHAAR ☐ Saving Bank A/c No. ☐ Proof of Identity ☐ Demat Account ID			

Details of Witness		
Name of the Witness	Address of Witness	Signature of Witness

Holder	Name	Signature
Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor)		18 ✓
Second Holder		
Third Holder		

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Notes:

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. In case of joint account, on death of any of the joint account holders, the surviving account holder(s) has to inform Participant about the death of account holder(s) with required documents within one year of the date of demise.
5. In case if 'first holder' is selected, the communication will be sent as per the preference mentioned at Sr. No. 4. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. 4 and communication to other holders will be in electronic mode. The default option will be communication to 'first holder', if no option selected.
6. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
7. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
8. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
9. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
10. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
11. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
12. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
13. Savings bank account details shall only be considered if the account is maintained with the same participant.
14. DP ID and client ID shall be provided where demat details is required to be provided.
15. All communication shall be sent at the address of the Sole/First holder only.
16. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
17. For receiving Statement of Account in electronic form:
    I. Client must ensure the confidentiality of the password of the email account.
    II. Client must promptly inform the Participant if the email address has changed.
    III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
18. Residual securities - in case of multiple nominees remaining after distribution of securities as per percentage of allocation.
19. Strike off whichever is not applicable.

DECLARATION FORM FOR OPTING OUT OF NOMINATION

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari, Hoshiarpur-146001

Dated ____________________

UCC							
DP ID							
Client ID (Only for Demat Account)							
Sole/First Holder Name							
Second Holder Name							
Third Holder Name							

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

19

First/Sole Holder Signature | Second Holder Signature | Third Holder Signature

STATEMENT OF ACCOUNT RECEIVING IN ELECTRONIC MODE

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari, Hoshiarpur-146001

Dated ____________________

Re: Beneficial Owner(BO) Account No.________________________

I/We____[name(s) of the BO(s)] had entered into DP BO agreement with you. I/we confirm having opted to receive the statement of accounts pertaining to our BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

E-mail address :____

I/we confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.

Yours faithfully

20

First/Sole Holder Signature | Second Holder Signature | Third Holder Signature

SACHDEVA STOCKS

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

(SMS Alerts will be sent by CDSL to BOs for all debits)

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off" mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorises the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorised transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorised debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorisation, the BO should immediately inform the DP in writing.

SACHDEVA STOCKS

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of Registration / modification (Please cancel out what is not applicable).

BOID

1	2	0	6	9	9	0	0									

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name :_____________ Second Holder's Name_____________ Third Holder's Name_____________

Mobile Number on which message are to be sent

+91										

(Please write only ONE valid email ID on which communications; if any, is to be sent)

The mobile number is registered in the name of :_____________________________

Email ID :_____________________________

(Please write only ONE valid email ID on which communications; if any, is to be sent)

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First/Sole Holder Signature

Second Holder Signature

Third Holder Signature

Place :_____________

Date : ____/____/20____

SACHDEVA STOCKS

TARIFF SHEET - DP (SCHEDULE OF SERVICE CHARGES FOR DP SERVICES)

w.e.f. 1st Sep. 2024

SCHEME	SCHEME-1	SCHEME-2
1. Account Opening Charges	NIL	NIL
2. Account Maintenance Charges	Rs. 200/- upfront Individual Rs. 500/- upfront for Corporate	Rs. 999/- for Life Time Rs. 999/- for Life Time Corporate Account
3. Stamp Paper	Rs. 100/-	Rs. 100/-
4. Dematerialization Charges (For Every 50 Certificate)	Rs. 100/-	Rs. 100/-
5. Rematerialization Charges	Rs. 50/- Per certificate or at the rate of 0.04% of the value of the securities requested for remat whichever is higher	Rs. 50/- Per certificate or at the rate of 0.04% of the value of the securities requested for remat whichever is higher
6. Custody	NIL	NIL
7. Transaction Charges	NIL	NIL
8. Receipt Purchase	NIL	NIL
9. Delivery/Sale	0.05% on transaction value subject to min. of Rs. 25/- per instruction	0.05% on transaction value subject to min. of Rs. 25/- per instruction
10. Pledge Creation/Closure/Invocation	Rs. 100/- or 0.02% whichever is higher	Rs. 100/- or 0.02% whichever is higher
11. Equities-Transfer Fees-Market & Off Market (Per Transaction minimum of Rs. 10/-)	0.02%	0.02%

w.e.f. 1st Sep. 2024

In addition to the above the following out of pocket expenses shall also be charged :

1. First Instruction Booklet free and other then issue Instruction Booklet Rs. 50 per Book.
2. Charges are subject to revision at Depository Participant's sole discretion by giving 30 days' notice and shall be informed by circulars sent by ordinary post/press advertisement/Electronic Communication/Courier/Personal Messenger/Website (website means www.sachdevastoc ks.com) and/or its pages and links and/or any other website(s)/links/pages/appiers Participant may inform from time to time.
3. Demat charged 100 and Demat courier / postage charged @ Rs. 100/-.
4. Any other services not specified above shall be charged extra.
5. Taxes and other government levies extra as applicable from time to time.
6. All the charges are payable on monthly basis.
7. Sachdeva Stocks Pvt. Ltd. may suspend/freeze depository services of the account holder on nonpayment outstanding bill till the time outstanding DP service Charge received.
8. **BSDA AMC Charges**
   - ‘0’ to Up to Rs. 4 lakhs’ - NIL /0/Zero
   - More than Rs. 4 lakhs but up to ` 10 lakhs – Rs. 100/- (Rs. 100/one Hundred /Hundred
   - More than Rs. 10 lakhs Normal slab applicable as per normal charges

Scheme Opted : **Scheme 1** ☐ **Scheme 2** ☐

Note. In case value of securities exceeds Rs. 1000000/- the BSDA will automatically converted into normal demat account and charges as mentioned in scheme A or B as opted by the client shall become applicable.

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First/Sole Holder Signature | Second Holder Signature | Third Holder Signature

DEMAT DEBIT AND PLEDGE INSTRUCTION

To ALL TO WHOM THESE PRESENTS SHALL COME, I/We____
{(name of the demat account holder/s) hold a Beneficial Owner(BO} Account No____________________________
(hereinafter to as "the BO Account") with Sachdeva Stocks Pvt. Ltd. having its corporate office at Sachdeva Business Park, Bullanwari, Hoshiarpur Punjab 146001

AND WHEREAS I/We are investor engaged in buying and selling of securities with Sachdeva Stocks Pvt. Ltd., a SEBI registered stock broker bearing registration no. INZ000292435 AND member of National Stock Exchange of India Ltd. .(Member D 12877) & Bombay Stock Exchange Ltd. (Member ID6303)

AND WHEREAS due to exigency and paucity of time, I/We am/are desirous of appointing of agent/attorney to operate the aforesaid beneficiary account on my/our behalf for a limited purpose in the manner hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/WE THE BELOW NAMED DO HEREBY NOMINATE, CONSTITUTE AND APPOINT Sachdeva Stocks Pvt. Ltd., as my/our true and lawful attorney (hereinafter referred to as the "Attorney") for me/us and on my/our behalf and in my/our name and on my/our risk and cost to do, exercise and perform all or any of the following acts and things:

Sr. No.	Name of the Company	Demat Account Detail
1	Sachdeva Stocks Pvt. Ltd	CDSL Pool ID 1206990000000964 (NSE)
2	Sachdeva Stocks Pvt. Ltd	CDSL PoA ID 2206990000000040
3	Sachdeva Stocks Pvt. Ltd	CDSL TM/CM CMPA A/C 1206990000048595
4	Sachdeva Stocks Pvt. Ltd	NSDL POOL ID CM-BP-ID IN514402 (NSE)
5	Sachdeva Stocks Pvt. Ltd	NSDL POOL ID CM-BP-ID IN663031 (BSE)
6	Sachdeva Stocks Pvt. Ltd	EARLY PAYIN ID 1100001100019703 (NSE)
7	Sachdeva Stocks Pvt. Ltd	NSDL POOL ID IN3016040635992
8	Sachdeva Stocks Pvt. Ltd	CDSL CM BO ID M51440 (NSE)
9	Sachdeva Stocks Pvt. Ltd	CDSL Pool ID 1206990000000599 (BSE)
10	Sachdeva Stocks Pvt. Ltd	CDSL CUSPA A/C 1206990000060469
11	Sachdeva Stocks Pvt. Ltd	EARLY PAYIN ID 1100001000022659 (BSE)

Purpose	Particulars	Signature		
Purpose 1	Transfer of securities held in the beneficial owner accounts of the client towards stock exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the Same Stock Broker	Signature of 1st Holder	Signature of 2nd Holder	Signature of 3rd Holder
Purpose 2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	Signature of 1st Holder	Signature of 2nd Holder	Signature of 3rd Holder
Purpose 3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	Signature of 1st Holder	Signature of 2nd Holder	Signature of 3rd Holder
Purpose 4	Tendering shares in open offers through Stock Exchange Platform.	Signature of 1st Holder	Signature of 2nd Holder	Signature of 3rd Holder

I/We, do hereby agree to ratify all lawful act sand things done by the Attorney pursuant to the powers here in above contained I/We further agree and confirm that the powers and authorities conferred by this power of Attorney shall continue until the same is revoked/modified by me/us.This Power of Attorney can be revoked or modified at any time by sending intimation/request for revocation/modification at the corporate office of the Attorney.

Date:______________Place:___________
I/We Accept:
For and on behalf of **Sachdeva Stocks Pvt. Ltd.**

OPTION FORM FOR ISSUE OF DIS BOOKLET

DP ID	1	2	0	6	9	9	0	0	Client ID								
First Holder Name																	
Second Holder Name																	
Third Holder Name																	

Date	D	D	M	M	Y	Y	Y	Y

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

Dear Sir / Madam,

I / We hereby state that : [Select one of the options given below]

☐ **OPTION 1 :**

I / We request you to issue Delivery Instruction Slip (DIS) booklet to me / us on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with ______________________________ (name of attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

OR

☐ **OPTION 2 :**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with ______________________________ (name of attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later day.

Yours faithfully

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	24		

SACHDEVA STOCKS

MOBILE NO. AND E-MAIL ID DECLARATION BY FAMILY ACCOUNT

To,

Date : ________________

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

We are having following trading & demat accounts with Sachdeva Stocks Pvt. Ltd. We do hereby declare that our family contact no. is ____ and our family E-mail id is____.

We hereby authorize Sachdeva Stocks Pvt. Ltd. to send us any information such as alert/SMS/call/ email etc. at above mentioned contact no. and email id.

We declare that this contact no. and E-mail id belong to our family consisting of persons as per following detail.

S.No.	Code	Name	Relation

I hereby declare that the aforesaid mobile number or E-mail ID belongs to ☐ Me or ☐ My Family
(Spouse, dependent children and dependent parents)

I further declare that the above mentioned statement is true and correct.

Yours Faithfully,

25 ________________________
(Client Signature)

SACHDEVA STOCKS

DECLARATION BY HUF

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001

Date : ____________

DP ID	1	2	0	6	9	9	0	0	Client ID								

As our HUF firm wishes to open an account with your DP in the said name ____________________ we beg to say that the first signatory to this letter, Mr ____________________ is the Karta of the Joint Family and other signatories are the adult co-parceners of the said family.

We further confirm that the business of the said joint family is carried on mainly by the said Karta as also by the other signatories hereto in the interest and for the benefit of the entire body of co-parceners of the joint family. We all undertake that claims due to the DP from the said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the Karta, including the share of minor co-parceners.

In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1952, we have not got our said firm registered under the said Act.

We hereby undertake to inform the DP of the death or birth of a co-parcener of any change occurring at any time in the membership of our joint family during the currency of the account.

Your's Sincerely

Name & signature of Karta

Name & Signature of Adult Co-parceners and date of birth of Minor Co-parceners
(Use Annexure for Additional Members)

S.No.	Name	Sex	Relation with Karta	Date of Birth	Signature
1.					ⓧ ____________
2.					ⓧ ____________
3.					ⓧ ____________
4.					ⓧ ____________

SACHDEVA STOCKS

FORMAT OF BOARD RESOLUTION TO BE GIVEN BY CORPORATE CLIENT (TO BE PRINTED ON LETTER HEAD OF COMPANY)

CERTIFIED TRUE COPY OF EXTRACTS OF THE MINUTES OF THE MEETING OF THE BOARD OF DIRECTORS OF_______________________________ (Co. Name) **CONVENED ON THE**________________(date) / **TIME AT**________________

_ ____ (Venue)

"**RESOLVED THAT** an account for the purpose of trading in Securities be opened with M/s. **Sachdeva Stocks Pvt. Ltd.**, Member - National Stock Exchange of India Ltd. / Bombay Stock Exchange Ltd., hereinafter refered to as the Broker, for undertaking sale & purchase of Securities on the said exchange(s).

FURTHER RESOLVED THAT Shri____________________________and Shri_________________________, Directors of the Company, be and are hereby, authorised severally to do all such acts, deeds, things and sign all documents papers, authorisations, agreements etc. as may be necessary for opening and operating the said account with Broker.

FURTHER RESOLVED THAT the aforementioned Directors, be and are hereby, authorised severally, to give instructions on behalf of the Company. for conducting trading in securities for and on behalf of the Company.

FURTHER RESOLVED THAT, a copy of this resolution be forwarded to the Broker by Shri________________________ or Shri_____________________________________Directors, & the Broker, be instructed to honour the instructions of Shri ___________________________________and / or Shri____________________________, Directors of the Company to carry on trading in Securities.

Certified true copy

For (Name of the Company)

Dated : | | | | | | | | |

Director

Place :

Sachdeva Business Park, Bullanwari, Hoshiarpur-146001 (Punjab)
Tel.: 9041094111, 9041096111 • Email : grievances@sachdevastocks.com
Website : www.sachdevastocks.com

FORMAT OF DECLARATION TO BE GIVEN BY PARTNERSHIP FIRM ON LETTER HEAD OF THE FIRM

To,

Sachdeva Stocks Pvt. Ltd.

Corp. Office : Sachdeva Business Park, Bullanwari, Hoshiarpur-146001

Date_______________

Dear Sir,

We refer to the trading account being opened / opened with you in the name of______________________and declare and authorise you as under.

We recognize that a beneficiary account cannot be opened with a depository participant in the name of a partnership firm as per applicable law. To facilitate the operation of the above trading account with you and for the purpose of completing the Securities transfer obligations pursuant to the trading operations, we authorise you to recognize the beneficiary account No.________________________with depository____________________________opened as a joint account in the names of the partnership of the firm.

We agree that the obligations for Securities purchased and/or sold by the firm will be handled and completed through transfer to/from the above mentioned account. We recognize and accept transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above Securities trading account of the firm.

We hereby authorize______________________________, partner in the firm to execute/sign and submit such documents, agreements, deeds etc. as may be necessary to enter into the agreement and engage in business with **Sachdeva Stocks Pvt. Ltd.**, and to place order for buying and selling of Securities, sell, purchase, transfer, endorse, negotiate and do other things that may be necessary to engage in business on behalf of the partnership and to sign the authority letter for adjustment of balances in family accounts.

Name of Partners (in block letters)	Signature

SACHDEVA STOCKS

FOR OFFICE USE ONLY

Branch Code : Sub Branch :	Group Code : Intro Code :

Tele Verification :

CKYC Reference No.:	KYC Reference No.:

1.	CHECKING DETAILS	REMARKS
a)	Name as it appears on the ID & Address Proof (in capital letter)	
b)	Signature of Client on all pages and wherever necessary (Witness wherever required) ☐	
c)	Signature Checked and Verified.	
d)	Photograph (duly signed) ☐	
e)	A copy of PAN Card (Self Attested) ☐	
f)	Address Proof (Self Attested) ☐	
g)	Bank Proof containing Client Name (Self Attested) ☐	
h)	Demat Account Proof (Self Attested) ☐	
2.	**Cross Checking done by TM________________ DP________________**	
3.	**Details Punched in Computer by TM________________ DP________________**	
4.	**UCC UPLOADED :** ☐ NSE Cash ☐ NSE F&O ☐ NSE CDS ☐ BSE Cash ☐ BSE F&O ☐ BSE CDS	
5.	**Client Instruction Book issued by**	
6.	**Client Account Status Report issued by**	
	DETAILS VERIFIED FROM UNSC LIST & SEBI DEBARRED ENTITY LIST Signature	

OUR SERVICES

Equities | Derivatives | Currency Derivatives | IPOs | Online Trading

SACHDEVA STOCKS

Sachdeva Stocks Pvt. Ltd.

CIN : U65999HP2006PTC000137

Registered Office : Opp. Sazbi Mandi, Una-174303 (H.P.)
Corporate Office : Sachdeva Business Park, Bullanwari, Hoshiarpur-146001 (Punjab)
Tel.: 9041094111, 9041096111 • **Email :** grievances@sachdevastocks.com
Website : www.sachdevastocks.com

SEBI Regn. No.
NSE : Cash, F&O, Currency - INZ000292435
BSE : Cash, F&O, Currency - INZ000292435
CDSL : Depository - IN-DP-CDSL-244-2016

Sachdeva Stocks Pvt. Ltd.

Depository Participant : CDSL • DP ID 12069900
Corp. Office : Sachdeva Business Park, Bullanwari,
Hoshiarpur-146001 (Punjab)
Phone : 9041094111, 9041096111

Received the application from Mr/Ms_______________________________ as the sole/first holder alongwith _____________________________ and _____________________________ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your

Date : | | | | | | | |

Participant Stamp & Signature